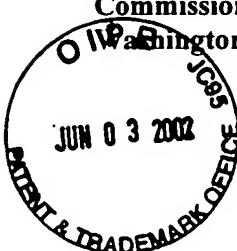


3761

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Esch, Brady D.; Macoviak, John A.
 Application No.: 10/077,173
 Filed: February 15, 2002
 For: FLOW-THROUGH AORTIC FLOW DIVIDER FOR CEREBRAL AND CORONARY EMBOLIC PROTECTION

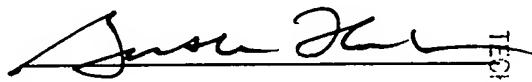


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
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The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: 5/21/02


 Gunther Hanke
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 562-432-0453
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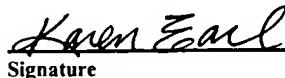
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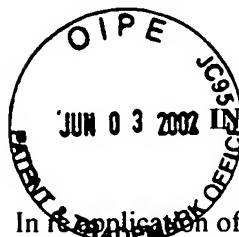
Karen Earl
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PATENT



JUN 03 2002 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 10/077,173
Filed: February 15, 2002

For: FLOW-THROUGH AORTIC FLOW DIVIDER FOR CEREBRAL AND CORONARY EMBOLIC PROTECTION

Commissioner for Patents
Washington, D.C. 20231

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Signature

Karen Earl
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List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
2. Copies of Listed Information Items Accompanying This Statement
3. Identification of Person(s) Making This Information Disclosure Statement

Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

Section 2. Copies of Listed Information Items Accompanying This Statement

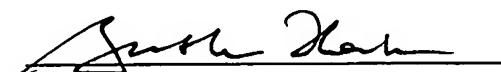
Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

Section 3. Identification of Person Making This Information Disclosure Statement

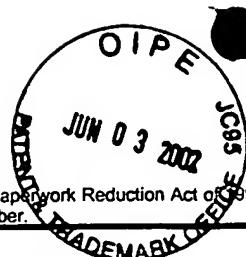
The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: 5/21/02



Gunther Hanke
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Long Beach, CA 90802
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/077,173
				Filing Date	02/15/2002
				First Named Inventor	Brady D. Esch
				Art Unit	
				Examiner Name	
				Attorney Docket Number	CARDE.59561
Sheet	1	of	1		

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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